

# KDD-Cup 2004: Protein Homology Task

Winner's Report: RKL Measure

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## 1.1 Question

Two datasets were provided, one for training and another for testing. The training set contained 145,751 and the test set consisted of 139,658 examples. Each example could be identified by a unique example id and was mainly made up of 74 numerical feature values. These values described the match between the native protein sequence and the sequence that is tested for homology. Furthermore, every example could be associated with this native protein sequence using the so-called block id. Each block embodied about 1,000 examples. Both datasets did not contain any incomplete information. The main goal was to predict which proteins were homologous to a native sequence. For this purpose the test set included a label which declared each example as homologous or inhomologous. Described here is the winning solution to minimize the average rank of the lowest ranked homologous sequence (RKL). Thus, this was not a classification task, but a ranking task. RKL does not depend on the predicted values, only on the relative order of the matches within each block.

## 1.2 Approach

### BlockNearestNeighbor

The data inspection mainly focused on the analysis of the 153 blocks that were given in the training dataset. From this we learned that there were a lot of blocks having only a little number of positive examples. In addition, just some blocks contained up to 50 positive examples. Due to this, we decided to analyse the numerical feature values for every block in the training set. For this we used statistical measures<sup>1</sup> and discovered that these were different [1].

One possibility to consider these discrepancies between the blocks is to quantify them. A nearest neighbor method is able to achieve this using distance measurement. Thus, each block had to be transformed into a

<sup>1</sup>Minimum, maximum, mean and median.

vector representation. For this we used the statistical measures. Our *BlockNearestNeighbor* method can be characterized with the following steps:

1. In order to predict all examples in a block, it locates the  $k$ -nearest blocks with their vector representation.
2. Learn the ranking model on the examples of this  $k$ -nearest blocks using the Ranking SVM [4].
3. Predict all examples in the block applying the ranking model.

Before the whole learning process the datasets have been normalised<sup>2</sup>. To assess the importance of the numerical attributes, J4.8 decision trees were learned with Yale using Weka [5, 6] for all blocks and the frequency of each attribute being a node in a tree was calculated. Using these frequencies a feature selection of the attributes for the vector representation was carried out. We reached our best results only including the attributes that occurred at least twice. It was of utmost importance to find an appropriate value for  $k$ . A value of  $k = 15$  yielded the best results. In addition to this, the learning option  $j$  of the SVM<sup>light</sup> [3], which does denote the cost factor by which training errors on positive examples outweigh errors on negative examples, had to be optimized.

### SVM Classification

In [2] predicting protein homology is mentioned as an application for a classification SVM with RBF kernel<sup>3</sup>. This led us to train a global SVM classifier using the RBF kernel on the normalised data. Further, we conducted cross-validation experiments in order to optimize the parameters  $\gamma$  and  $j$  of the SVM<sup>light</sup>. Since we faced a ranking problem, we chose function values of the SVM

<sup>2</sup>Every normalisation in this work was made according to:  
 $x_{norm} = \frac{x - x_{min}}{x_{max} - x_{min}}$ .

<sup>3</sup>The RBF kernel is defined as  $K(\mathbf{x}, \mathbf{y}) = e^{-\|\mathbf{x} - \mathbf{y}\|^2 / 2\gamma^2}$ .

as the predicted ranking instead of the class assignment. During training the SVM penalizes misclassified instances proportionally to their distance to the separating hyperplane. This justifies the assumption that examples far away from the separating hyperplane are less frequently misclassified. The function values are real numbers, directly implying an order on the examples.

## Final Model

Our final model combined the two methods described above. These output rankings given in real numbers. In order to combine them properly we normalised these two rankings. As a method for combining, simply adding them did the trick, according to cross-validation experiments.

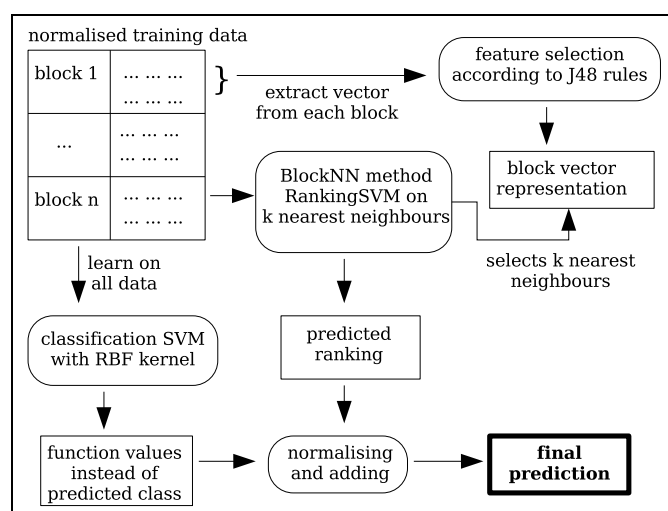


Figure 1: Schematic representation of our approach.

## Conclusion

We implemented an ensemble learning approach by combining two SVM predictions. On the one hand the ranking SVM delivered directly a ranking, on the other hand the classification SVM implied a ranking taking advantage of the function values. We think that our method of combination (simple adding) could be improved when using other weighting techniques.

## References

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